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PATENT APPLICATION  
ATTORNEY DOCKET NO. FFRT-P003

IN THE  
UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: LAURENT et al.

Examiner: UNKNOWN

Application No.: 10/810,061

Group No.: N/A

Filed: 3/25/2004

Confirmation No.: 7853

Title: COMPUTER-IMPLEMENTED  
TRADING IN FREIGHT DERIVATIVES  
AND TECHNIQUES THEREFOR

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 4, 2005.

Signed: /Alma Fazlic/  
Alma Fazlic

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56 AND 1.97(c)**

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to the patentability of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The information contained herein was first cited in a communication from a foreign patent office in a counterpart foreign application less than 3 months prior to the filing of this document.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

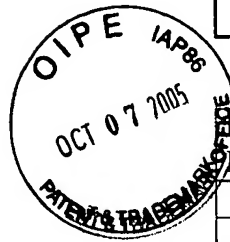
This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-2284 (Order No. FFRT-P003).

Respectfully submitted,

/Joseph A. Nguyen/      Reg. No. 37,899

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<b>Form 1449 (Modified)</b>	<b>Atty Docket No.</b> FFRT-P003	<b>Application No.:</b> 10/810,061
<b>Information Disclosure Statement By Applicant</b>	<b>Applicant:</b> LAURENT et al.	
<b>(Use Several Sheets if Necessary)</b>	<b>Filing Date</b> 03/25/2004	<b>Group</b> N/A

### U.S. Patent Documents & Published Applications

Examiner Initial	No.	Patent or Pub App No.	Date	Patentee	Class	Sub- class	Filing Date
	1	02/0123911	09/08/2002	Bjerre et al.			10/10/2001
	2	6,064,981	05/16/2000	Barni et al.			06/17/1999
	3	6,463,419 B1	10/08/2002	Kluss			03/07/2000

### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
							Yes	No

### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	4	PCT International Search Report mailed 6 JULY 2005, PCT/US04/09424
	5	Written Opinion of ISR, PCT/US04/09424
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.